

-1-

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	Group Art Unit:
Chan, L. et al.	:	Not Yet Assigned
	:	
Serial No.: Not Yet Assigned	:	
	:	IBM Corporation
Filed: (Herewith)	:	Intellectual Property Law T81/B503
	:	3039 Cornwallis Road
	:	P. O. Box 12195
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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

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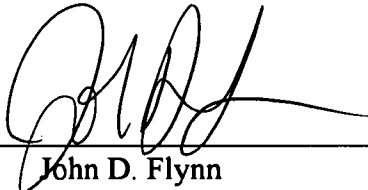
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A copy of each of the items identified on the attached Form PTO/SB/08A is supplied herewith, except for U.S. patents and U.S. patent application publications, for which no copies are being submitted.

Respectfully submitted,

Attorneys for Applicants

By:   
John D. Flynn  
Reg. No. 35,137

Docket: CA920030031US1  
Phone: 919-254-7468 / Fax 919-254-4330

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Compl te if Kn wn**

Application Number

Filing Date

**First Named Inventor**

Chan, L. et al.

### Art Unit

Examiner Name \_\_\_\_\_

Attorney Docket Number

CA920030031US1

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
/A.A./	B1	WO 98/33135	07/30/1998	Chislenko, et al.		
/A.A./	B2	WO 01/33410	05/10/2001	Cooper, et al.		

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/Affaf Ahmed/

Date Considered

11/13/2007

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		Application Number			
		Filing Date			
		First Named Inventor	Chan, L. et al.		
		Art Unit			
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	CA920030031US1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/A.A./	C1	"A personalised needs oriented approach to insurance product sales" IBM Res. Discl. 453098 (January 2002)	
/A.A./	C2	Herlocker, et al., "Explaining Collaborative Filtering Recommendations", Dept. of Comp. Sci. & Eng., Univ. of Minnesota (2000), pp. 241-250	
/A.A./	C3	Lee, et al., "Learning Automated Product Recommendations Without Observable Features: An Initial Investigation", Robotics Instit., Carnegie Mellon Univ. (April 1995), pp. 1-35	
/A.A./	C4	Resnick, et al., "GroupLens: An Open Architecture for Collaborative Filtering of Netnews", MIT Center for Coordination Sci. (1994), pp. 175-186	
/A.A./	C5	Sarwar, et al., "Analysis of Recommendation Algorithms for E-Commerce", GroupLens Res. Group, Dept. of Comp. Sci. & Eng., Univ. of Minnesota (2000), pp. 158-167	
/A.A./	C6	Shardanand, et al., "Social Information Filtering: Algorithms for Automating 'Word of Mouth'", MIT Media-Lab, 1995, pp. 1-11	

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